

Project/Performance Site Location(s)

Project/Performance Site Primary Location I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code: * Project/ Performance Site Congressional District:

Project/Performance Site Location 1 I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County:

* State:

Province:

* Country:

* ZIP / Postal Code: * Project/ Performance Site Congressional District:

Application for Federal Assistance SF-424		Version 02
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
		* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: 12/14/2009	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>		* 5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="City of Omaha"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 47-6006304	* c. Organizational DUNS: 076981265	
d. Address:		
* Street1:	1819 Farnam Street, Ste 300	
Street2:	<input type="text"/>	
* City:	Omaha	
County:	Douglas	
* State:	NE: Nebraska	
Province:	<input type="text"/>	
* Country:	USA: UNITED STATES	
* Zip / Postal Code:	68183	
e. Organizational Unit:		
Department Name: Office of the Mayor	Division Name: Grant Administration	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Ms.	* First Name: Kristi	<input type="text"/>
Middle Name:	<input type="text"/>	
* Last Name:	Wamstad-Evans	
Suffix:	<input type="text"/>	
Title:	Sustainability Coordinator	
Organizational Affiliation: City of Omaha - Planning Department		
* Telephone Number: 402-444-6731	Fax Number: 402-444-6140	
* Email: kristina.wamstad-evans@ci.omaha.ne.us		

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

Environmental Management Consolidated Business Cen

11. Catalog of Federal Domestic Assistance Number:

81.128

CFDA Title:

Energy Efficiency & Conservation Block Grant Program

*** 12. Funding Opportunity Number:**

DE-FOA-0000148

*** Title:**

Recovery Act: Energy Efficiency and Conservation Block Grants: Competitive Solicitation: Retrofit Ramp-up and General Innovation Fund Programs

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Omaha, Douglas County, Nebraska and City of Lincoln, Lancaster County, Nebraska

*** 15. Descriptive Title of Applicant's Project:**

Recovery Act: Energy Efficiency and Conservation Block Grant Retrofit Ramp-Up Program
City of Omaha and City of Lincoln, Nebraska Retrofit Ramp-Up Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="20,000,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="20,000,000.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

 a. This application was made available to the State under the Executive Order 12372 Process for review on b. Program is subject to E.O. 12372 but has not been selected by the State for review. c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

 Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

 ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name: Middle Name: * Last Name: Suffix: * Title: * Telephone Number: Fax Number: * Email: * Signature of Authorized Representative: * Date Signed:

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Standard Form 424 (Revised 10/2005)
Prescribed by OMB Circular A-102

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C.1352

Approved by OMB
0348-0046

1. * Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. * Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input checked="" type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. * Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
--	--	--

4. Name and Address of Reporting Entity:

Prime SubAwardee

* Name:

* Street 1: Street 2:

* City: State: Zip:

Congressional District, if known:

5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime:

6. * Federal Department/Agency: <input type="text" value="US Department of Energy"/>	7. * Federal Program Name/Description: <input type="text" value="Energy Efficiency & Conservation Block Grant Program"/> CFDA Number, if applicable: <input type="text" value="81.128"/>
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8. Federal Action Number, if known: <input type="text" value="DE-FOA-0000148"/>	9. Award Amount, if known: \$ <input type="text"/>
---	--

10. a. Name and Address of Lobbying Registrant:

Prefix * First Name Middle Name

* Last Name Suffix

* Street 1: Street 2:

* City: State: Zip:

b. Individual Performing Services (including address if different from No. 10a)

Prefix * First Name Middle Name

* Last Name Suffix

* Street 1: Street 2:

* City: State: Zip:

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when the transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* Signature:

* Name: Prefix * First Name Middle Name

* Last Name Suffix

Title: Telephone No.: Date:

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Standard Form - LLL (Rev. 7-97)

**Recovery Act: Energy Efficiency and Conservation Block Grant Retrofit Ramp-up Program
City of Omaha and City of Lincoln, Nebraska Retrofit Ramp-up Program
Project Summary**

Name of Applicant	Project Director
City of Omaha, Nebraska	Kristi Wamstad-Evans, City of Omaha Sustainability Coordinator
Project Title	
City of Omaha and City of Lincoln, Nebraska Retrofit Ramp-up Program	

Project Description

The City of Omaha and City of Lincoln, Nebraska Retrofit Ramp-up Program will achieve a sustainable retrofit marketplace by focusing on the following strategic methods: Workforce Development, Green Technology and Entrepreneurship, Consumer Information, Financial Mechanisms, Neighborhood Advocacy, and Market Strategy. Separately, the City of Omaha and the City of Lincoln have designated target Green Zones in which the Retrofit Ramp-up Program will be strategically tailored to most effectively serve the population. To sustain this highly replicable collaboration between Nebraska’s two largest cities, Omaha and Lincoln will continue to share information, discuss barriers, and generate solutions during and beyond the grant period. With resources committed in the total amount of **\$100,110,135** from 27 leverage partners, the Omaha-Lincoln Retrofit Ramp-up Program requests **\$20,000,000** from the Department of Energy to perform energy audits and/or retrofits to **4,500** residential, commercial and public buildings within designated Green Zones of the local jurisdictions. This dynamic partnership will catalyze the region’s energy efficiency market by benefitting Nebraska’s workforce, contractors, consumers, financial lending institutions, utilities, government agencies and supplying them with the tools needed to make Nebraska a leader in green industry.

Project Objectives

- Objective 1: Increase the number of entities engaged in the commercial, industrial and residential retrofit marketplace in Omaha and Lincoln.
- Objective 2: Maximize the number of informational outlets for consumers to learn about locally-based energy efficiency upgrades.
- Objective 3: Increase the amount of innovative financial tools to make energy retrofits accessible to consumers in Omaha and Lincoln.
- Objective 4: Audit and/or retrofit over 80-percent of residential, commercial and public buildings in designated Green Zones.
- Objective 5: Decrease the cost of residential, commercial and industrial retrofits across Omaha and Lincoln.
- Objective 6: Increase the number of residential, commercial and industrial retrofit opportunities for emerging small businesses and green entrepreneurs locally.
- Objective 7: Achieve 100-percent inclusion of all Neighborhood Associations and/or Neighborhood Advocacy Organizations within the Green Zones in the implementation of the Omaha-Lincoln Ramp-Up Program.
- Objective 8: Maximize the recycling of materials replaced through retrofit.
- Objective 9: Maximize neighborhood participation and revitalization.
- Objective 10: Increase energy efficient retrofits outside of established Green Zones and beyond the funding opportunity.
- Objective 11: Reduce the number of residents, business owners, property owners and consumers who have no access to measurable, objective and verified individual energy consumption information.
- Objective 12: Sustain the workforce, entrepreneurship and retrofit activities over the entire grant period.



Kristi Wamstad-Evans, LEED AP

Experience

– Present City of Omaha Omaha, NE

inability Coordinator

- Responsible for administering the Energy Efficiency and Conservation Block Grant direct-formula program for the City of Omaha.
- Oversee a program that includes comprehensive measures to catalyze Omaha's sustainability implementation and fast turn-around energy efficiency projects.
- Working with various local organizations, city departments and businesses to proactively deliver sustainability measures.

– 2009 HDR, Inc. Omaha, NE

onal Sustainable Solutions Program Coordinator

- As senior staff member, developed expert knowledge of the Sustainable Solutions Program's strategic goals, key staff, significant projects and target audiences.
- Using that knowledge, maintained an emphasis on being proactive with systems and materials to facilitate more efficient marketing and business development efforts in the field.
- Contributed significantly to the development, implementation, and monitoring of this company-wide initiative.
- Supported the Sustainable Solutions Program's National Directors and managers throughout the company.

– 2006 HDR, Inc/OBDP Salem, OR

oject Manager (2005 – 2006)

- Managed the project level delivery of Context Sensitive and Sustainable Solutions (CS³) on over 300 bridges being replaced and repaired throughout the state, including development of programmatic tools and proposal review.
- Established the foundations of CS³ to transfer the philosophy, framework, and processes to ODOT.
- Created and administered unique tools for implementing CS³ on projects, including the CS³ Plan, CS³ Decision Matrix, design-bid-build contract language, design-build performance specifications, and construction waste-tracking tools.
- Awards & Recognition: Gold Pathfinder Productivity and Performance (2006); Local Pathfinder Team, Bridge Reporting System (2006).

Coordinator (2004–2005)

- Designed an award-winning program to implement CS³ on all bridge projects in the \$1.3 billion transportation infrastructure program.
- Strategically integrated design and construction management activities with program goals set by stakeholders and the legislature.
- Developed methodologies for a set of over 50 performance measures and designed a framework to interrelate them with the program goals.
- Coordinated a collaborative effort between an interdisciplinary team of economic development, diversity, mobility, public involvement, environmental, design, and construction task leads to develop and implement the principles of CS³.
- Significant role producing or contributing to several key documents, including the CS³ Performance Measures Framework, 2005 CS³ Annual Report, CS³ Guidebook, and project summary reports, and provided training to over 400 design consultants and 200 agency representatives. A copy of the CS³ Guidebook and several other materials still being used are available at www.obdp.org/partner/cs3.

– 2004 City of Lincoln Recycling Office Lincoln, NE
Outreach Specialist

- Communicated municipal recycling information with the public via hotline, presentations, and development of website content.
- Organized marketing activities and designed marketing materials, including the “Lincoln Recycles” logo.
- Tracked recycling and recycled products purchasing by city offices.

– 2001 AmeriCorps*VISTA Fort Washakie, WY
Employment Specialist and Grant Writer

- Established and marketed a new career skills program for parents of Head Start children on the Wind River Indian Reservation.
- Administered grant program and secured \$200K in grants for operations at the Boys and Girls Club of the Eastern Shoshone Tribe.
- Interim Director of the Boys and Girls Club of the Eastern Shoshone Tribe.

– 1998 Student Environmental Action Coalition Various
International Coordinator, Sustainable Development & Youth

- Developed and presented position statements for the United Nation’s Commission on Sustainable Development in April 1996.
- Selected to represent US youth at the NGO forum for the United Nation’s 4th World Conference on Women (aka Beijing Women’s Conference) in September 1995.
- Over six years, held a number of roles including 2 years on the National Executive Committee, 3 years on the National Board, and 2 years as a liaison to international youth organizations.

Education – 2004 University of Nebraska, Lincoln Lincoln, NE

- Masters of Community and Regional Planning (MCRP).
- Emphasis on Environmental Planning.
- Awarded AICP Outstanding Student of the Year.
- Thesis: *Building Better in Eastern Nebraska*, a review of green building programs throughout the US and a blueprint for green building programs in Nebraska.

– 1997 International Honors Program World-wide

- Competitive selection, study abroad program travelling around the world to seven countries: England, Turkey, India, Thailand, Mexico, and the US.
- Program focus on Global Ecology and Cultural Anthropology; Emphasis on

MILO MUMGAARD

City of Lincoln Administrator of “Omaha-Lincoln Retrofit Ramp-up” Project

mmumgaard@lincoln.ne.gov, 402-441-6875

Milo Mumgaard has over twenty years experience as a nationally-recognized leader in the legal, policymaking, and philanthropic communities. Mumgaard has a consistently high level of success developing creative and effective public-private collaborations to address major social problems. Mumgaard is an accomplished public interest lawyer with significant background in a wide range of fields, including environmental stewardship, employment, health care, civil rights, foster care, immigration, and public benefits.

Education and Training

New York University School of Law

Juris Doctor, 1988

Editor-in-Chief, New York University Review of Law and Social Change.
Vanderbilt Medal for Outstanding Contribution. American Jurisprudence Award.

University of Nebraska-Lincoln

Bachelor of Arts, 1983

Phi Beta Kappa. National Merit and Regent Scholar. Innocents Senior Honorary.

Professional Experience

Senior Policy Aide for Sustainability

Mayor Chris Beutler, City of Lincoln, Nebraska
Lincoln, NE (2009-Present)

- Coordinates all City of Lincoln initiatives to promote more sustainable policies and practices for the city and the broader community. Has direct responsibility for “Cleaner Greener Lincoln” program, and as such designed and is administering use of \$2.4 million in federal stimulus funds on residential, commercial, and public energy efficiency and renewable energy projects across Lincoln. More information at: green.lincoln.ne.gov.

Midwest Regional Director

Common Cause, Washington, DC (2008-2009)(on leave)

- Coordinated seven-state advocacy and membership campaigns focused on voter protection, civic engagement, and political reform.

Associate General Counsel

AFL-CIO (American Federation of Labor & Congress of Industrial Organizations),
Washington, DC (2007-2008)

- Created new legal partnership of labor, employment, legal services, and public interest lawyers in six major cities to boost the interests of low-wage and mostly immigrant workers and their families.

Immigrant Policy Program Director
Appleaseed Foundation, Washington, DC (2006-2007)

Executive Director & Founder
Nebraska Appleaseed Center for Law in the Public Interest, Lincoln, NE (1996-2007)

Director and Lead Counsel
Nebraska Center for Legal Services, Lincoln, NE (1992-1995)

Farm/Rural Desk Staff Attorney
Legal Aid Society, Walthill, NE (1991-1992)

Migrant Farmworker Staff Attorney
Texas Rural Legal Aid, Plainview, TX (1988-1991)

Legislative Aide
Nebraska State Senator David Landis, Lincoln, NE (1985-1986)

Synergistic Activities:

University of Nebraska-Lincoln School of Natural Resources, “Sustainable Lincoln: Meeting Our Needs for A Growing and Dynamic Economy Today... and Tomorrow,” Fall Speaker Series (September 8, 2009)

Northeastern University School of Law, “Public Interest Lawyers in Red State America: Strategies for Change in Unlikely Places,” Inaugural Daynard Public Interest Visiting Fellow Lecture, Boston, MA (2005)

Georgetown University School of Law, “The Good Life: Life Lessons for the Future Public Interest Lawyer,” Public Interest Law Scholars Lecture, Washington, DC (2005)

Author of many articles, OpEds, and commentaries on public interest and equal justice issues in national, regional, and state publications.

Quoted in wide variety of national and local publications, including the New York Times, Washington Post, Wall Street Journal, The Nation, Mother Jones, Huffington Post, Omaha World Herald, and Lincoln Journal Star.

Applicant Name: City of Omaha, Nebraska

Award Number: _____

Budget Information - Non Construction Programs

Section A - Budget Summary				
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		
		Federal (c)	Non-Federal (d)	Federal (e)
1. EECBG Competitive	81.128			\$20,000,000
2. Total Request				
3.				
4.				
5. Totals		\$0	\$0	\$20,000,000

Section B - Budget Categories			
6. Object Class Categories	Grant Program, Function or Activity		
	Total Requested	(2)	(3)
a. Personnel	\$430,141		
b. Fringe Benefits	\$157,807		
c. Travel	\$6,336		
d. Equipment			
e. Supplies	\$31,753		
f. Contractual	\$19,021,463		
g. Construction			
h. Other	\$60,000		
i. Total Direct Charges (sum of 6a-6h)	\$19,707,500	\$0	\$0
j. Indirect Charges	\$292,500		
k. Totals (sum of 6i-6j)	\$20,000,000	\$0	\$0
7. Program Income			

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Section C - Non-Federal Resources		
(a) Grant Program	(b) Applicant	(c) State
8.		
9.		

10.		
11.		
12. Total (sum of lines 8 - 11)		\$0

Section D - Forecasted Cash Needs

	Total for 1st Year	1st Quarter	2nd Quarter
13. Federal	\$20,000,000	\$3,187,316	\$9,099,203
14. Non-Federal	\$0		
15. Total (sum of lines 13 and 14)	\$20,000,000	\$3,187,316	\$9,099,203

Section E - Budget Estimates of Federal Funds Needed for Balance of the Project

(a) Grant Program	Future Funds	
	(b) First	(c) Second
16. EEBCG Competitive Grant	\$4,076,060	\$7,911,538
17.		
18.		
19.		
20. Total (sum of lines 16-19)	\$4,076,060	\$7,911,538

Section F - Other Budget Information

21. Direct Charges	22. Indirect Charges	Total for three years - \$292,5
23. Remarks		

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Instructions for the SF-424A

Public Reporting Burden for this collection of information is estimated to average 3.0 hours per response, including the time for reviewing instructions, maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office provided by the sponsoring agency.

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

For continuing grant program a each funding period as required by estimated amounts of funds which period only if the Federal grantor ; these columns blank. Enter in column upcoming period. The amount(s) in Columns (e) and (f).

For supplemental grants and (d) and (d). Enter in Column (e) the amount and enter in Column (f) the amount. Column (g) enter the new total but includes the total previous authorized the amounts shown in Columns (e)

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a **single** Federal grant program (Federal Domestic Assistance Catalog number) and **not requiring** a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a **single** program **requiring** budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in **Column** (a) and the respective catalog number on each line in Column (b).

For applications pertaining to **multiple** programs where one or more programs **require** a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For **new applications**, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

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Section C. Non-Federal Resources

Lines 8-11—Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a)—Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b)—Enter the contribution to be made by the applicant.

Column (c)—Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d)—Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e)—Enter totals of Columns (b), (c), and (d).

Line 12—Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

Section D. Forecasted Cash Needs

Line 13—Enter the amount of cash needed by quarter from the grantor agency during the first year.

the amounts shown in Columns (e) equal the sum of amounts in Colu

Line 5—Show the totals for all co

Section B. Budget Categories

In the column headings (a) through functions, and activities shown on additional sheets are prepared for sheet. For each program, function Federal and non-Federal) by obje

Lines 6a-i—Show the totals of Lir

Line 6j—Show the amount of indi

Line 6k—Enter the total of amount grants and continuation grants the same as the total amount shown i grants and changes to grants, the Columns (1)-(4), Line 6k should b Columns (e) and (f) on Line 5.

Line 7—Enter the estimated amo this project. Do not add or subtrac under the program narrative state amount of program income may b determining the total amount of th

Section E. Budget Estimates Project

Lines 16-19—Enter in Columr Column

(a), Section A. A breakdown b applications and continuation (amounts of Federal funds whic project over the succeeding fu need not be completed for revi funds for the current year of ex If more than four lines are nee schedules as necessary.

Line 20—Enter the total for ea schedules are prepared for thi overall totals on this line.

Section F. Other Budget Info

Line 21—Use this space to ex cost categories that may appe details as required by the Fed

Line 22—Enter the type of ind fixed) that will be in effect durir the base to which the rate is a

Line 14—Enter the amount of cash from all other sources needed by quarter during the first year.

Line 23—Provide any other e>

Line 15—Enter the totals of amounts on Lines 13 and 14.

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New or Revised Budget

Non-Federal (f)	Total (g)
	\$20,000,000
	\$0
	\$0
	\$0
\$0	\$20,000,000

(4)	Total (5)
	\$430,141
	\$157,807
	\$6,336
	\$0
	\$31,753
	\$19,021,463
	\$0
	\$60,000
\$0	\$19,707,500
	\$292,500
\$0	\$20,000,000
	\$0

SF-424A (Rev. 4-92)
 Prescribed by OMB Circular A-102

(d) Other Sources	(e) Totals
	\$0
	\$0

	\$0
	\$0
\$0	\$0

3rd Quarter	4th quarter
\$3,856,741	\$3,856,740
\$3,856,741	\$3,856,740

Reporting Periods (Years)

(d) Third	(e) Fourth
\$8,012,402	
\$8,012,402	\$0

100 Indirect Cost Rate 1.4625%

SF-424A (Rev. 4-92)

Prescribed by OMB Circular A-102

Instructions, searching existing data sources, gathering and review of Management and Budget; send it to the address

Instructions: submit these forms before the end of the grant period by the grantor agency. Enter in Columns (c) and (d) the amount of the increase or decrease of Federal funds. The amount in Column (a) will remain unobligated at the end of the grant funding period unless the agency instructions provide for this. Otherwise, leave Column (a) blank. Enter in Columns (e) and (f) the amounts of funds needed for the increase or decrease of non-Federal funds. The amount in Column (g) should be the sum of amounts in Columns (c) and (e).

Changes: For changes to existing grants, do not use Columns (c) and (e). Enter in Column (c) the amount of the increase or decrease of Federal funds and in Column (e) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the budgeted amount (Federal and non-Federal) which is the sum of the budgeted amounts plus or minus, as appropriate, the amounts in Columns (c) and (e). The amount(s) in Column (g) should not be less than zero.

5) and (f). The amount(s) in Column (g) should not
columns (e) and (f).

columns used.

6) (4), enter the titles of the same programs,
7) Lines 1-4, Column (a), Section A. When
8) Section A, provide similar column headings on each
9) or activity, fill in the total requirements for funds (both
10) object class categories.

Lines 6a to 6h in each column.

Direct cost.

11) Lines 6i and 6j. For all applications for new
12) total amount in column (5), Line 6k, should be the
13) in Section A, Column (g), Line 5. For supplemental
14) total amount of the increase or decrease as shown in
15) be the same as the sum of the amounts in Section A,

16) amount of income, if any, expected to be generated from
17) this amount from the total project amount. Show
18) the nature and source of income. The estimated
19) be considered by the federal grantor agency in
20) the grant.

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21) of Federal Funds Needed for Balance of the

22) (a) the same grant program titles shown in

23) by function or activity is not necessary. For new
24) grant applications, enter in the proper columns
25) which will be needed to complete the program or
26) funding periods (usually in years). This section
27) includes revisions (amendments, changes, or supplements) to
28) existing grants.

29) In addition to list the program titles, submit additional

30) information for each of the Columns (b)-(e). When additional
31) information is required in this Section, annotate accordingly and show the

32) Information

33) Explain amounts for individual direct object-class
34) which are out of the ordinary or to explain the
35) estimated amount of the total indirect expense.

36) Indirect rate (provisional, predetermined, final or
37) for the funding period, the estimated amount of
38) applied, and the total indirect expense.

xplanations or comments deemed necessary.

SF-424A (Rev. 4-92)
Prescribed by OMB Circular A-102

**Recovery Act: Energy Efficiency and Conservation Block Grant Retrofit Ramp-up Program
City of Omaha and City of Lincoln, Nebraska Retrofit Ramp-up Program
Budget Justification - Three Year Grant Period**

a. Personnel - \$430,141

Position Title	Budget Period 1			Budget Period 2			Budget Period 3			Project Total Hrs	Project Total \$	Rate Basis
	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 1	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 2	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 3			
Omaha Program Director w/Project Oversight	624	\$36.56	\$22,813	624	\$37.51	\$23,406	624	\$38.49	\$24,018	1,872	\$70,237	Salary
Lincoln Program Director	208	\$36.56	\$7,604	208	\$37.51	\$7,802	208	\$38.49	\$8,006	624	\$23,412	Salary
Omaha Program Coordinator w/Project Oversight	2,080	\$16.83	\$35,006	2,080	\$17.26	\$35,901	2,080	\$17.71	\$36,837	6,240	\$107,744	Salary
GIS/Web Developer	2,080	\$20.58	\$42,806	2,080	\$21.11	\$43,909	2,080	\$21.66	\$45,053	6,240	\$131,768	Salary
Finance Grant Accountant	520	\$24.04	\$12,501	520	\$24.66	\$12,823	520	\$25.30	\$13,156	1,560	\$38,480	Salary
Project Interns (2)	2,600	\$7.50	\$19,500	2,600	\$7.50	\$19,500	2,600	\$7.50	\$19,500	7,800	\$58,500	Hrly
PERSONNEL TOTAL			\$140,230			\$143,341			\$146,570		\$430,141	

Budget Narrative: The Cities of Omaha and Lincoln have been diligently working with program partners. Omaha and Lincoln will work together to provide programmatic and fiscal oversight. Staff from each city will be responsible for overseeing a program management team, verifying the contracting process, coordinating leverage partners for the delivery of the program, coordinating programmatic communications, grant reporting, project outcome mapping and financial accounting. Staff funded by grant funds to administer these responsibilities will include an Omaha and Lincoln Program Director, a Program Coordinator, Web-Development/GIS Technician, Finance Grant Accountant, and two Project Interns one per City.

b. Fringe Benefits - \$157,807

Position Title	Budget Period 1			Budget Period 2			Budget Period 3			Project Total Hrs	Fringe Benefits Project Total \$	Rate Basis
	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 1	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 2	Time (Hours)	Pay Rate (\$/Hr)	Total Budget Period 3			
Omaha Program Director w/Project Oversight	624	\$11.81	\$7,369	624	\$12.12	\$7,560	624	\$12.43	\$7,758	1,872	\$22,687	32.3% Salary
Lincoln Program Director	208	\$11.81	\$2,456	208	\$12.12	\$2,520	208	\$12.43	\$2,586	624	\$7,562	32.3% Salary
Omaha Program Coordinator w/Project Oversight	2,080	\$8.43	\$17,538	2,080	\$8.56	\$17,986	2,080	\$8.87	\$18,455	6,240	\$53,980	50.1% Salary
GIS/Web Developer	2,080	\$9.08	\$18,878	2,080	\$9.31	\$19,364	2,080	\$9.55	\$19,868	6,240	\$58,110	44.1% Salary
Finance Grant Accountant	520	\$9.66	5,025	520	\$9.91	\$5,150	520	\$10.17	\$5,289	1,560	\$15,463	40.2% Salary
FRINGE BENEFITS TOTAL			\$51,266			\$52,585					\$157,807	

Budget Narrative: The City of Omaha is self-insured. Per the City of Omaha Finance Department, 2010 Fringe Benefits are calculated on the following basis, see table below:

	Program Directors	Program Coordinator	GIS/Web Developer	Finance Accountant
	36.56	16.83	20.58	24.04
	2080 hours X			
Annual Salary	76,044.80	35,006.40	42,806.40	50,003.20
FICA	4,714.78	2,170.40	2,654.00	3,100.20
Medicare	1,102.65	507.59	620.69	725.05
Pension	7,247.07	3,336.11	4,079.45	4,765.30
Health	10,800.00	10,800.00	10,800.00	10,800.00
Dental	660.00	660.00	660.00	660.00
Life	75.00	75.00	75.00	75.00
	<u>24,599.50</u>	<u>17,549.10</u>	<u>18,889.14</u>	<u>20,125.55</u>
	32.3%	50.1%	44.1%	40.2%

Note: Health, Dental & Life Insurance rates are from 2009 actuals.

c. Travel - \$6,336

Purpose of Travel	No. of Travelers	Depart From	Destination	No. of Days	Cost per Traveler	Cost per Trip	Basis for Estimating Costs
Budget Period 1							
Domestic Travel							
DOE Project Review Workshop	2	Omaha, NE	TBA	3	\$786	\$1,572	U.S. General Services Admin – Domestic Per Diem Rates (Fed Travel Regs)
Local Travel for Project Directors	2	Omaha, NE Lincoln, NE	Lincoln, NE Omaha, NE		.50/mile \$150	\$300	Privately Owned Vehicle (POV) Mileage Reimbursement Rates
Local Travel for Program Coordinator	1	Omaha, NE	Omaha, NE Lincoln, NE		.50/mile x 40miles x 12mos	\$240	POV Mileage Reimbursement
Yr 1 TRAVEL TOTAL						\$2,112	

Purpose of Travel	No. of Travelers	Depart From	Destination	No. of Days	Cost per Traveler	Cost per Trip	Basis for Estimating Costs
Budget Period 2							
Domestic Travel							
DOE Project Review Workshop	2	Omaha, NE	TBA	3	\$786	\$1,572	U.S. General Services Admin – Domestic Per Diem Rates (Fed Travel Regs)
Local Travel for Project Directors	2	Omaha, NE Lincoln, NE	Lincoln, NE Omaha, NE		.50/mile \$150	\$300	Privately Owned Vehicle (POV) Mileage Reimbursement Rates
Local Travel for Program Coordinator	1	Omaha, NE	Omaha, NE Lincoln, NE		.50/mile x 40miles x 12mos	\$240	POV Mileage Reimbursement
Yr 2 TRAVEL TOTAL						\$2,112	

Purpose of Travel	No. of Travelers	Depart From	Destination	No. of Days	Cost per Traveler	Cost per Trip	Basis for Estimating Costs
Budget Period 3							
Domestic Travel							
DOE Project Review Workshop	2	Omaha, NE	TBA	3	\$786	\$1,572	U.S. General Services Admin – Domestic Per Diem Rates (Fed Travel Regs)
Local Travel for Project Directors	2	Omaha, NE Lincoln, NE	Lincoln, NE Omaha, NE		.50/mile \$150	\$300	Privately Owned Vehicle (POV) Mileage Reimbursement Rates
Local Travel for Program Coordinator	1	Omaha, NE	Omaha, NE Lincoln, NE		.50/mile x 40miles x 12mos	\$240	POV Mileage Reimbursement
Yr 3 TRAVEL TOTAL						\$2,112	

Budget Narrative: Total Travel costs over the three year grant period is \$6,336.

Purpose of Travel	Location	Item	Computation	Cost
Cost for 1 person to attend the DOE Project Review workshop/conference			– average estimate cost per person	\$786
Airfare			\$385 per ticket x 1 person x 1 trip	\$385
Lodging			\$119 per day x 2 nights x 1 person x 1 trip	\$238
Meals			\$45 per day x 1 days x 1 person x 1 trip	\$45
First/Last Travel Days			\$33.75 per day x 2 days x 1 person x 1 trip	\$68
Incidentals (shuttle)			\$50 rd trip x 1 person x 1 trip	\$50
				\$786

Purpose of Travel

Round Trip Mileage from and to Omaha/Lincoln for the Omaha and Lincoln Program Directors

50 mi x 6 rd trips x 3 yrs x .50/mile x 2 Directors

Local mileage in Omaha and Lincoln for the Program Coordinator - 40 mi x 12 mos x 3 yrs x .50/mi

Cost

\$900

\$720

\$1,620

d. Equipment – N/A

e. Supplies – \$31,753

General Category of Supplies	Qty	Unit Cost	Total Cost	Budget Period 1		Basis of Cost	Justification of Need
Dell Desk Top Computers	3	\$1,288	\$3,864			City of Omaha Purchasing Quote	Computers for Project Director, Program Coordinator, GIS/Web Developer to effectively coordinate strategy implementation and provide program management. One time cost
Office Professional 2007 License	3	\$313	\$939			City of Omaha Purchasing Quote	Software to operate computers One time cost
ArcView – ArcGIS Desktop w/ Concurrent Licensing & 1 yr Maintenance	1	\$2,900	\$2,900			Quote from Rockware.com	Concurrent licensing for Desktop GIS for Mapping, Data Integration, and Analysis for retrofit project tracking.
ArcView Extensions	2	\$700	\$700				ArcView Extensions add more capabilities to ArcGIS Desktop: ArcGIS 3D Analyst & ArcGIS Tracking Analyst
1 yr Maintenance	2	\$2,050	\$4,100				Associated costs of Social Networking and the purchase of web development software
Adobe Creative Suite 4 Web Master Collection software	1	\$500	\$1,000			Adobe.com	
1 yr Maintenance/Support Plan	1	\$3,400	\$3,400				
		\$125	\$125				
Office Supplies	12 mos	\$150	\$1,800			Average cost of offices supplies	General miscellaneous offices supplies for grant funded positions: notebooks, paper/printing, pencils/pens, file folders
Yr 1 SUPPLIES TOTAL			\$18,828				

General Category of Supplies	Qty	Unit Cost	Total Cost	Budget Period 2		Justification of Need
				Unit Cost	Basis of Cost	
ArcView – ArcGIS Desktop w/ Concurrent Licensing & 1 yr Maintenance	1	\$2,900	\$2,900		Quote from Rockware.com	Concurrent licensing for Desktop GIS for Mapping, Data Integration, and Analysis for retrofit project tracking.
ArcView Extensions 1 yr Maintenance	2	\$500	\$1,000			ArcView Extensions add more capabilities to ArcGIS Desktop: ArcGIS 3D Analyst & ArcGIS Tracking Analyst
Adobe Creative Suite 4 Web Master Collection software 1 yr Maintenance/Support Plan	1	\$125	\$125		Adobe.com	Associated costs of Social Networking and the purchase of web development software
Office Supplies	12 mos	\$150	\$1,800		Average cost of offices supplies	General miscellaneous offices supplies for grant funded positions: notebooks, paper/printing, pencils/pens, file folders
Yr 2 SUPPLIES TOTAL			\$6,525			

General Category of Supplies	Qty	Unit Cost	Total Cost	Budget Period 3		Justification of Need
				Unit Cost	Basis of Cost	
ArcView – ArcGIS Desktop w/ Concurrent Licensing & 1 yr Maintenance	1	\$2,900	\$2,900		Quote from Rockware.com	Concurrent licensing for Desktop GIS for Mapping, Data Integration, and Analysis for retrofit project tracking.
ArcView Extensions 1 yr Maintenance	2	\$500	\$1,000			ArcView Extensions add more capabilities to ArcGIS Desktop: ArcGIS 3D Analyst & ArcGIS Tracking Analyst
Office Supplies	12 mos	\$150	\$1,800		Average cost of offices supplies	General miscellaneous offices supplies for grant funded positions: notebooks, paper/printing, pencils/pens, file folders
Yr 3 SUPPLIES TOTAL			\$6,400			

Budget Narrative: Total Supplies costs over the three year grant period is \$31,753.

f. Contractual - \$19,021,463

Sub-Recipient Name/Organization	Purpose/Tasks in SOPO	Budget Period 1 Costs	Budget Period 2 Costs	Budget Period 3 Costs	Project Total
Omaha & Lincoln will develop a Request For Qualifications, Request for Proposal to follow	Contracting for Auditing & Retrofitting in Omaha Green Zones – 3,000 buildings Retrofit 3,000 buildings @ \$6,500 average/bldg	\$3,370,000	\$7,990,000	\$8,140,000	\$19,500,000
Omaha & Lincoln will develop a Request For Qualifications, Request for Proposal to follow	Contracting for Auditing & Retrofitting in Lincoln Green Zones – 1,500 buildings Retrofit 1,500 buildings @ \$6,500 average/bldg	\$1,685,000	\$3,995,000	\$4,070,000	\$9,750,000
Omaha & Lincoln will develop a Request For Qualifications, Request for Proposal to follow	Contract for Program Management	\$877,500	\$877,500	\$877,500	\$2,632,500
Leverage Funding	DOE – EECBG Allocation, Weatherization, Local Housing Rehabilitation Grants and Building Owners	(\$3,075,120)	(\$4,085,360)	(\$5,700,557)	(\$12,861,037)
TOTAL CONTRACTUAL		\$2,857,380	\$8,777,140	\$7,386,943	\$19,021,463

g. Construction – N/A

h. Other Costs –\$60,000

General Description	Cost	Basis of Cost	Justification of Need
Budget Period 1			
Annual Summit of Leverage Partners	\$20,000	\$20,000	Leverage Partners Summit/Expo will provide an avenue for partners and vendors to showcase and market their company. The focus is on energy efficiency retrofits for homes, public and private buildings. The Summit will include a job fair featuring the new energy retrofit program. Community members will be invited to attend is Summit component.
Yr 1 TOTAL ANNUAL SUMMIT	\$20,000		

General Description	Cost	Basis of Cost	Justification of Need
	Budget Period 2		
Annual Summit of Leverage Partners	\$20,000	\$20,000	Building off the first Leverage Partners Summit, Partners will be able to address successes, barriers and solutions regarding auditing and energy retrofits for homes, buildings and public facilities
Yr 2 TOTAL ANNUAL SUMMIT	\$20,000		

General Description	Cost	Basis of Cost	Justification of Need
	Budget Period 3		
Annual Summit of Leverage Partners	\$20,000	\$20,000	Leverage Partners Summit ensures sustainability through networking and promotes job creation, growth of industry within Omaha/Lincoln and to other cities in Nebraska.
Yr 3 TOTAL ANNUAL SUMMIT	\$20,000		

Budget Narrative: Total Other Costs over the three year grant period is \$60,000.

i. Indirect Charges - \$292,500

	Budget Period 1	Budget Period 2	Budget Period 3	Total Cost
The City of Omaha's Indirect Cost Rate is 7.64% as approved by Housing and Urban Development. The City of Omaha is requesting 1.4625% of \$20,000,000 to cover indirect costs. See Attached Indirect Cost Rate Letter	\$97,500	\$97,500	\$97,500	\$292,500

Budget Summary

	Budget Period 1	Budget Period 2	Budget Period 3	Total
a. Personnel	\$140,230	\$143,341	\$146,570	\$430,141
b. Fringe Benefits	\$51,266	\$52,585	\$53,956	\$157,807
c. Travel	\$2,112	\$2,112	\$2,112	\$6,336
d. Equipment	-0-	-0-	-0-	-0-
e. Supplies	\$18,828	\$6,525	\$6,400	\$31,753
f. Contractual	\$2,857,380	\$8,777,140	\$7,386,943	\$19,021,463
g. Construction	-0-	-0-	-0-	-0-
h. Other Costs	\$20,000	\$20,000	\$20,000	\$60,000
i. Total Direct Charges	\$3,089,816	\$9,001,703	\$7,615,981	\$19,707,500
j. Indirect Charges	\$97,500	\$97,500	\$97,500	\$292,500
k. TOTALS	\$3,187,316	\$9,099,203	\$7,713,481	\$20,000,000



City of Omaha
Jim Suttle, Mayor

December 14, 2009

Office of the Mayor
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(402) 444-5000
FAX: (402) 444-6059

U.S. Department of Energy
Office of Energy Efficiency and Renewable Energy
DOE Environmental Management Consolidated Business Center
Cincinnati, OH

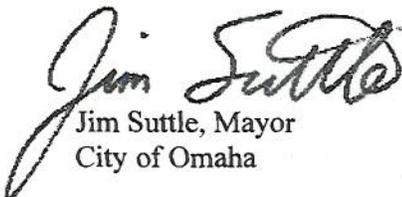
**Re: Davis-Bacon Act Assurance, Energy Efficiency and Conservation Block Grant
(EECBG) Retrofit Ramp-up Program**

To Whom It May Concern:

This letter certifies that all laborers and mechanics on project funded directly by or assisted in whole or in part by and through funding appropriated by the American Recovery and Reinvestment Act of 2009 are paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by subchapter IV of Chapter 31 of title 40, United States Code.

As the highest elected official within the City of Omaha government, I certify the aforementioned information to the best of my abilities.

Respectfully,


Jim Suttle, Mayor
City of Omaha

/lw

Appendix C – NEPA Form for Completion

U.S. Department of Energy

ENVIRONMENTAL SUMMARY

(To Be Completed by Potential Recipient)

The Department of Energy (DOE) is required by the National Environmental Policy Act (NEPA) of 1969 as amended (42 U.S.C. 4332(2), 40 CFR parts 1500-1508) and DOE implementing regulations (10 CFR 1021) to consider the environmental effects resulting from federal actions, including providing financial assistance. Please provide the following information to facilitate DOE's environmental review.

PART I: General Information

Title: Omaha-Lincoln Retrofit Ramp-Up Program

FOA Number: DE-FOA-0000148

1. Please describe the intended use of DOE funding in your proposed plan. For example, would the funding be applied to the entire project or only support a phase of the project? Describe the activity as specifically as possible, i.e. planning, feasibility study, design, data analysis, education or outreach activities, construction, capital purchase, and/or equipment installation or modification.

The DOE funding will be used to support a concentrated effort to deliver whole-neighborhood retrofit projects on existing building in the cities of Omaha and Lincoln, Nebraska. The direct retrofit effort will be supported in part with funds for weatherization, tax-increment financing, and local housing rehabilitation. The scope for direct retrofits will include an audit and installation of retrofit materials such as weather stripping, insulation, furnaces, appliances, lighting, windows, etc.

The broader scope of the Omaha-Lincoln Retrofit Ramp-up Program will also include the transformation of the regional marketplace with activities related to workforce development, green technology and entrepreneurship, market strategy, consumer information, neighborhood advocacy, and financial mechanisms.

2. Does any part of your project require review and/or permitting by any other federal, state, regional, local, environmental or regulatory agency? YES No
3. Has any review (e.g. NEPA documentation, permits, agency consultations) been completed?
 YES No
If yes, is a finding or report available and how can a copy be obtained?

4. Provide information about the potential environmental issues, concerns, and impacts associated with your proposal. Please provide as much detail as possible in the following areas: specifics of proposed activities, project locations, size, layout, commitments to waste management and historic preservation. If project specific information is unknown, describe your plan for obtaining this information.

The primary environmental issues associated with the retrofitting of older residential and commercial buildings in these areas will be two-fold: the selection of environmentally preferable materials for retrofitting and the proper removal and disposal of material to be retrofit. In the response to the first issue, the Program will utilize a qualified products list. One of the criteria for selection of products for the list will be to demonstrate the environmental performance of said materials from the original sourcing to the end-of-life options. These criteria will be weighted, along with cost and quality, in the selection process. Some examples of materials that may be selected for the qualified product list may include EnergyStar appliances, recycled paper insulation, or recycled plastic HVAC ductwork.

The second issue of wasted materials may actually spur a secondary market for the recycling of outdated building materials and appliances. Working with a number of leverage partners, including the Pollution Partnership Resource Information Center (PPRIC), WasteCap of Nebraska, EcoStores, and FirstStar Fiber, the Environmental Task Lead of the Program Management team will develop and implement protocols and best practices for material reuse and/or disposal. FirstStar Fiber has indicated an interest in developing a Material Reuse Facility. We will also be working with the Omaha Healthy Kids Alliance and the city departments to develop protocol for healthy homes audits, including lead poison prevention and safe asbestos mitigation.

Specific information on each project is unknown. Before any audit or retrofit of a building, the owner must enroll in the Program. The Program will work with city historic building planners to define a protocol for historical buildings. Where feasible, the architectural significance of period homes will be retained with retrofit materials that retain the original style and feel of the building.